



— AML & COMPLIANCE

Protocol

Compliance that holds up **under scrutiny.**

Compliance is not paperwork. It is how you keep operating.

Protocol covers the officers, the onboarding, the testing and the training that regulators expect a serious business to have in place.

Every engagement starts the same way: we test how your controls work in practice, not how they read on paper, then close the gaps that matter most.

IN THIS SUITE

01	Outsourced MLRO	03
02	Outsourced Chief Compliance Officer	04
03	KYC, KYB & Sanctions Screening	05
04	AML & Compliance Health Check	06
05	AML & Compliance Training	07
06	Transactional Compliance	08

01 · Structure
Atlas

02 · Govern
Governance

03 · Comply
Protocol

04 · Bank
Flow

Outsourced MLRO

Appointing an MLRO is mandatory. The right one enables growth instead of slowing it. We take the regulatory burden off senior management and keep AML controls practical.

WHAT YOU RECEIVE

- 01 Financial crime risk assessment for your business
- 02 AML policies, procedures and controls
- 03 Day-to-day oversight of monitoring and sanctions
- 04 Suspicious activity assessment and STR filing
- 05 Regulator liaison in DIFC, mainland Dubai and Mauritius

KEY FEATURES

Assess, build, lead

Baseline the framework, strengthen it, then act as your MLRO.

Defensible decisions

Every suspicious activity call reasoned and recorded.

Regulated presence

DIFC, mainland Dubai and Mauritius only.

Outsourced Chief Compliance Officer

Senior compliance leadership without a full-time hire. Our CCO leads your agenda across AML, governance and regulators, and keeps the business inspection-ready.

WHAT YOU RECEIVE

- 01 Compliance and risk assessment
- 02 Framework design and enhancement
- 03 AML, sanctions and due diligence oversight
- 04 Compliance plan and board reporting
- 05 Review of high-risk activity and transactions

KEY FEATURES

A seat at the board

Reporting, priorities and accountability, led by us.

High-risk jurisdiction expertise

Counterparties, ownership and routes screened before deals proceed.

Built to last

Controls embedded in daily work, not dependent on one person.

KYC, KYB & Sanctions Screening

Faster onboarding with stronger controls. We run due diligence as an extension of your compliance team, and build files that hold up under regulatory review.

WHAT YOU RECEIVE

- 01 Customer due diligence and risk scoring
- 02 Sanctions, PEP and adverse media screening
- 03 Beneficial ownership verification
- 04 Documented onboarding decisions
- 05 Periodic reviews and file updates

KEY FEATURES

Risk-aligned intake

Profile and jurisdiction assessed before onboarding begins.

Expert-led, RegTech-backed

Automated screening, with human judgement on every alert.

Inspection-ready files

Every decision evidenced and easy to audit.

AML & Compliance Health Check

Independent testing of your framework against what regulators actually examine. We find the weaknesses before they do, and rank what to fix first.

WHAT YOU RECEIVE

- 01 Governance and regulatory alignment review
- 02 Testing of CDD, EDD, screening and monitoring
- 03 Client file and risk classification review
- 04 Reporting and escalation assessment
- 05 Remediation plan ranked by regulatory risk

KEY FEATURES

Inspect like a regulator

The same lens regulators apply to your firm.

Practice, not paper

Real files and real decisions, tested.

Ready for inspection

Findings turned into clear next steps.

AML & Compliance Training

Regulators now check whether teams understand and apply controls, not just whether policies exist. Our training builds competence people can explain under scrutiny.

WHAT YOU RECEIVE

- 01 Knowledge gap assessment by team
- 02 Role-based training sessions
- 03 Board and management training
- 04 Competency assessments
- 05 Inspection-ready training records

KEY FEATURES

Built around real roles

Matched to each team's decisions and risk exposure.

Real-world cases

Examples drawn from actual financial crime risk.

Competence, not attendance

Proof your people understand, not just that they attended.

Transactional Compliance

Protect the transaction while the business moves forward. We assess counterparties, ownership and flows to identify AML and sanctions risk before execution.

WHAT YOU RECEIVE

- 01 Deal-level AML risk assessment
- 02 Counterparty and sanctions review
- 03 Deal-specific enhanced due diligence
- 04 Compliance clearance memo
- 05 Documentation for regulators and counterparties

KEY FEATURES

Before execution

Risks identified while there is still time to act.

Cross-border reach

Counterparties in China, Hong Kong, Switzerland and beyond.

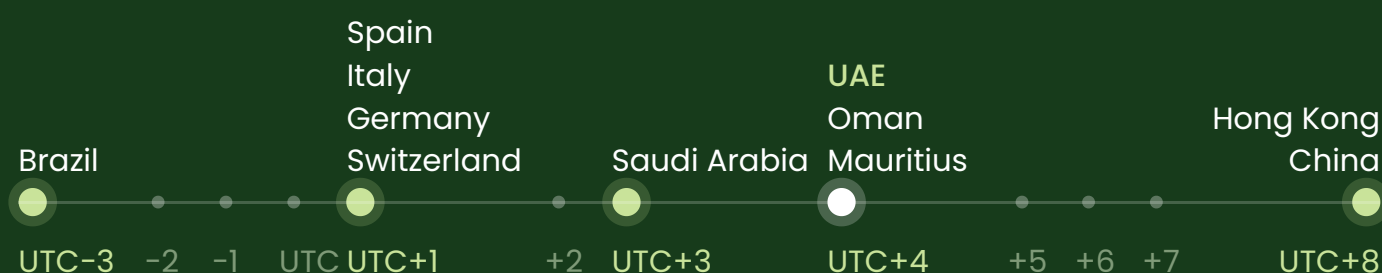
A clear answer

Whether and how the transaction can proceed.

11 countries. One team.

Outsourced MLRO, CCO and health checks are delivered for firms in DIFC, mainland Dubai and Mauritius. KYC, training and transaction reviews reach counterparties across all 11 countries, where we also support company formation and corporate banking.

ELEVEN HOURS OF TIME ZONES



AE	United Arab Emirates	Middle East	Headquarters
MU	Mauritius	Africa	Regulated services
SA	Saudi Arabia	Middle East	Formation & banking
OM	Oman	Middle East	Formation & banking
HK	Hong Kong	Asia	Formation & banking
CN	China	Asia	Formation & banking
ES	Spain	Europe	Formation & banking
CH	Switzerland	Europe	Formation & banking
IT	Italy	Europe	Formation & banking
DE	Germany	Europe	Formation & banking
BR	Brazil	Americas	Formation & banking

— START A CONVERSATION

Know your gaps before regulators do.

We will review how your controls work today, then put the oversight in place that keeps you inspection-ready.

Email us to book a free consultation →

Web

avenoxglobal.com

Email

enquiry@avenoxglobal.com

Office

Innovation One, DIFC,
Dubai



Avenox Global